



Rosenthal Collins Group Signs with Eventus Systems for Trade Surveillance

Cloud Version of Validus Platform Brings RCG New Efficiencies, Flexibility

AUSTIN, Texas, March 13, 2018 – Eventus Systems, Inc., a provider of innovative regtech software solutions for the capital markets, announced today that Rosenthal Collins Group LLC (RCG®), a leading independent futures brokerage and clearing firm, has deployed its Validus platform for RCG’s trade surveillance needs.

RCG chose the cloud version of the platform for its compliance officers to monitor trading activity and watch for any potential regulatory issues.

RCG Director of Compliance Tom Mallers said: “We looked at a lot of trade surveillance vendors for the industry, and Eventus offered us a powerful solution with Validus. The cloud-based platform is easy to use and flexible, and we’ve been able to achieve significant cost savings while meeting our objectives.”

Mallers said RCG appreciates the flexibility of the Validus software – particularly the ability to make changes to parameters and adjust levels of surveillance alerts – and customize it to the firm’s specific needs. “We don’t need to be programmers to make our own changes,” he said.

“This is a really good fit for us, and the customer support has been great. Eventus staff is not only responsive and accessible but extremely knowledgeable about the nuances of futures and the rules that apply. Even the layout of the platform demonstrates that the people behind it are well versed in exchange rules and terminology,” Mallers said.

Since deploying the solution, RCG requested a new feature for Validus, and Eventus made the change promptly – benefiting not only RCG but all Eventus clients who want to use it.

Eventus CEO Travis Schwab said: “We’re very pleased to partner with RCG on its surveillance program following an extensive due diligence process that Tom and his staff conducted. Right off the bat, the firm made a great suggestion about a feature to add, and that is exactly the type of collaborative input we encourage.”

About Eventus Systems

Eventus Systems, Inc. is a software firm solving pressing regulatory technology (regtech) challenges for capital markets organizations, including Tier 1 banks, brokerages and futures commission merchants (FCMs), proprietary trading firms, exchanges, corporates and buy-side firms. The firm's flagship Validus surveillance and risk software platform – available as a cloud-based or real-time enterprise on-premise solution – provides sophisticated, efficient risk management and surveillance, spanning the front, middle and back office. Through multi-stream data reconciliation, Validus offers a high-performance, scalable platform that was built and battle-tested in the toughest market conditions. Headquartered in Austin and serving clients globally, Eventus is led by a veteran management team with extensive experience in capital markets, derivatives, compliance, software development and high-performance data management. Visit www.eventussystems.com.

About RCG

With more than 90 years of experience in the futures industry, Rosenthal Collins Group is one of the world's leading regulated Futures Commission Merchants (FCMs) offering trading execution, clearing, brokerage, institutional foreign exchange (FX), managed futures and a full range of electronic trading services. RCG has a wide range of institutional, commercial, professional and retail customers around the globe, as well as a large network of more than 150 introducing broker and correspondent brokerage relationships. The firm has developed its own electronic trading platform, RCG Onyx Plus[®], tailored to the needs of its clients. RCG holds clearing memberships on all principal U.S. futures exchanges and has access to world futures markets through a network of correspondent relationships. Further information about Rosenthal Collins Group can be found at www.RCGdirect.com.

MEDIA CONTACT:

Ellen G. Resnick
Crystal Clear Communications
+773-929-9292; +312-399-9295 (mobile)
eresnick@crystalclearPR.com